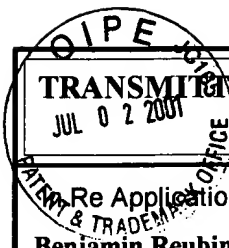


H-A 0300 0400 #3

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 14418	
In Re Application Of: Benjamin Reubinoff et al.			
Serial No. 09/808,382	Filing Date March 14, 2001	Examiner Unassigned	Group Art Unit Unassigned
Title: <b>EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM</b>			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:  1. a Final Action under 37 CFR 1.113, or  2. a Notice of Allowance under 37 CFR 1.311,  whichever occurs first.			
Also submitted herewith is:			
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).			



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14418

Re Application Of:  
**Benjamin Reubinoff et al.**

Serial No.  
09/808,382

Filing Date  
March 14, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
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I certify that this document and fee is being deposited on **June 29, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Janet Grossman*  
Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Peter I. Bernstein*  
Signature

Dated: June 29, 2001

**Peter I. Bernstein**  
Registration No. 43,497  
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400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

CC:



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Benjamin Reubinoff et al.      **Examiner:** Unassigned  
**Serial No.:** 09/808,382      **Art Unit:** Unassigned  
**Filed:** March 14, 2001      **Docket:** 14418  
**For:** EMBRYONIC STEM CELLS AND      **Dated:** June 29, 2001  
NEURAL PROGENITOR CELLS  
DERIVED THEREFROM

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent 6,200,806, dated March 13, 2001.
2. International Application WO 00/27995, published May 18, 2000.
3. International Application WO 00/68359, published November 16, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 29, 2000.

Dated: June 29, 2000

  
Janet Grossman

4. Reubinoff BE et al. "Embryonic stem cell lines from human blastocysts: somatic differentiation in vitro" Nature Biotech. Vol. 18, April 2000, pp. 399-404.
5. Thomas JA et al. "Embryonic stem cell lines derived from human blastocysts" Science Vol. 282, November 1998, pp.1145-1147.
6. Brustle O et al. "Embryonic stem cell-derived glial precursors: A source of myelinating transplants" Science Vol. 285, July 30, 1999, pp.754-756.
7. Shambloott M et al. "Derivation of pluiopotent stem cells from cultured human primordial germ cells" The National Academy of Science, Vol. 95, November 1998, pp. 13726-13731.
8. Svendsen C et al." New prospects for human stem cell therapy in the nervous system" Trends in Neuroscience, Vol.22, No. 8, 1999, pp.357-364.
9. Thomson J et al. "Neural differentiation of rhesus embryonic stem cells" Acta Pathologica, Microbiologica, et Immunologica Scandinavica, Vol. 106, 1998, pp.149-157.
10. Lake J et al. "Reversible programming of pluripotent differentiation" Journal of Cell Science, Vol. 113, February 2000, pp. 555-566.
11. Thomson J.A. et al. "Primate embryonic stem cells" Current Topics in Development Biology, Vol. 38, 1998, pp. 133-165.

The references were cited in a Search Report received from the Australian Patent Office, dated May 10, 2001. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required

Respectfully submitted,



Peter I. Bernstein

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PIB/sf